



भारतीय प्रबंध संस्थान कोषिककोड

Indian Institute of Management Kozhikode

Globalizing Indian Thought



First High-level Annual Seminar on Banking Regulation, Intermediary Soundness & Systemic Stability

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Program fee: ₹1,26,000 + GST

4th - 8th September | 5 Day on campus | Contact: csmdp15@iimk.ac.in +91 495 2809556 |



Overview

As part of its Management Development Programme (MDP) series, IIMK will be hosting the "First High-Level Annual Seminar on 'Banking, Intermediary Soundness & Systemic Stability" from September 4-8, 2023. This one-week (5-working days) Seminar will provide exposure to participants from financial industry to contemporary issues in financial regulation, with especial focus on India. It is directed at capacity building for the mid-career professionals aiming to take higher responsibilities or senior roles in financial institutions and market infrastructure or their regulation. The course will cover some theoretical underpinnings but will focus on building practical understanding of financial regulation, taking support for some empiricism. The duration of the course is one week (5 working days). Similar programme focussing on financial markets, regulation and contagion risks will be offered at a later date which will be communicated sometimes after the present course with its time and venue. It will be ideal for the participants to also undertake the later course when offered, but doing so is not a condition for participating in this course.

Quality financial regulation is vital to promote macro-financial stability, prevent market failure, protects investors' interest and mitigate the effects of financial failures on the real economy. Costs of regulation administration and enforcement exist, but costs of institutional failure, market failures and financial crisis are several folds higher. The course will in this backdrop provide an improved understanding of financial regulation and its conduct in the Indian as well as in global context.

"The programme covers specialised lectures on topic of contemporary relevance in banking regulation. These include post-GFC financial regulatory reforms; Basel-III implementation in India; governance in banks; infrastructure financing; restructuring, stressed assets, insolvency and bankruptcy framework; forensic accounting and fraud detection; AML/CFT regulations; scale-based regulations; digital lending, data analytics in bank supervision; RegTech & SupTech; FinTech & BigTech and banking landscape." Lectures will be delivered by banking experts largely drawn from the banking industry that include Chairmans/ MD & CEO/ top management in banks, Chief General Managers from the Reserve Bank, and some academics.

Programme/ Learning Objectives;

- To understand the importance of financial regulation and recent or planned regulatory changes
- Introduction to liquidity and solvency problems, systemic risk, financial stability, financial crisis
- Recent reforms in bank and non-bank financial regulations
- Enhance knowledge on restructuring, bankruptcy and resolution
- Understand business process transformations and recent financial innovations in changing landscape of financial services and their regulation
- To understand processes governance issues in banks

Learning Outcomes

The participants will be in a position to better understand the regulatory environment and the current regulatory changes and will also be able to communicate their perspective to policymakers framing these regulations. Distortions in financial markets amid weak regulation often cause institutions to conduct business sub-optimally and can restrict entry of new firms and exist of firms from the marketplace. Human resources from the financial firms will be better placed to take leadership positions and informed decisions in their strategic decisions and the day-to-day operations in the markets after undertaking this course. The course will also contribute towards building their profiles to take up senior management roles in the financial firms.

Programme Content:

The contents can best be viewed from the course outline/ schedule. It, inter alia, includes focus on: (i) Indian financial system and its regulation, (ii) global financial regulatory reforms and financial stability, (iii) bankruptcies, restructuring and bailouts, (iv) bank runs, systemic risks and financial crises, (v) advancements in regulation and supervision, (vi) financial innovations with focus on FinTech, digital lending, payment systems and the related regulatory challenge.

Pedagogy

The pedagogy involves predominantly lectures by practitioners and academics with domain expertise on the subject of the lecture along with some lectures with a mix of needed theoretical groundings. Some panel discussions with market/ financial industry/ regulators panellists have also been added to provide exposure to debates on the contemporary issues relating to financial regulation by amongst the best of the financial industry experts, also affording participants an opportunity to pose questions to these panelists. Participants are expected to engage in discussions and share experiences as this MDP is the mode of a seminar.

Participant Profile

Work-experience of minimum 3-years and maximum 15-years in a bank, non-bank financial intermediary, financial market infrastructure or a financial services/ FinTech firm or a regulatory institution in BFSI space/ officials of Government of India dealing in financial services, financial stability. Corporate employees with work-ex of requisite duration in Treasuries or financial investments may also be eligible. All applicants must provide their brief profile. Employers's sponsorship/ recommendation will be preferred. Those not meeting the work-experience criteria may also apply by adding a statement of purpose (SoP) within a single page.



Programme Director's Profile

Mridul Saggar is currently Professor of Practice and Head of the Centre of Excellence in Macroeconomics, Banking and Finance at the Indian Institute of Management (IIM), Kozhikode. He is also an external expert associated with the Monetary Capital Markets (MCM) Department of the International Monetary Fund (IMF) and offers Technical Assistance to IMF member countries.

A central banker for three decades, Dr Saggar, superannuated as the Executive Director, Reserve Bank of India (RBI) and one of the six members of the RBI's Monetary Policy Committee in charge of taking collegiate decisions on policy interest rate and the monetary policy stance. He was also a member of its Financial Markets Committee that takes decisions on its financial market operations in money, G-sec & FX markets. He guided the economics research at the RBI and was responsible for most of its publications.

Earlier, he has served as the Head of the International Department of RBI, representing India at various international bodies including the G20, BIS, FSB, IMF, World Bank, SAARC, and OECD and many of its Working Groups/Task Forces. He was also responsible for central bank swaps

He has also served as the Investor Education and Protection Fund Authority (IEPF) Chair Professor at NCAER and as Chief Economist at Kotak Institutional Equities.

A PhD from IGIDR and a mid-career fellow at Princeton University, he has over 50 published research papers in referred journals covering macroeconomics, international finance, monetary policy and monetary operations, international financial architecture and international economic relations, global financial regulatory reforms, financial inclusion, national accounts, agriculture policy, regulation and infrastructure finance.

Other Programme Details

Programme Fee : ₹ **1,26,000** + GST

Programme Date : 4th to 8th September, 2023 (Five-day on-campus MDP)

Venue : IIMK

About IIM Kozhikode

Started in 1997 with its Post Graduate Programme (PGP), the Indian Institute of Management Kozhikode (IIMK) is on a high growth trajectory today, offering widest range of academic programs in the field of management education. These include Fellow Programme in Management, Executive PG Programmes, Management Development Programmes and Faculty Development Programs. IIMK set up a Satellite campus at Infopark, Kochi, in 2013 dedicated to Executive Education. IIMK has also the unique distinction of launching a PhD (Practice Track) programme for working professionals besides bringing in new dynamic courses like the one-year Post Graduate programme in Business Leadership (2019) MBA in Finance and MBA in Liberal Studies & Management (2020). The institute is also home to IIMK LIVE a first-of-its-kind startup incubation programme and the Indian Business Museum.

IIMK is ranked 3rd as per NIRF India Rankings 2023: Management. The institute also features regularly among top global institutes for its flagship MBA (PGP) and EMBA programme (EPGP) in QS World University Rankings. The Institute has leapfrogged 100 spots to be placed in the Top 251-300 Institutes in Business & Management Studies globally, as per the QS World University Rankings by Subject 2023. It is also Ranked No.2 in CFIs (non-Technical) category in the Atal Innovation Rankings (ARIIA 2021) rankings released recently by Ministry of Education, Govt. of India, and has the distinction of being the only IIM in the coveted innovation rankings. IIM Kozhikode is globally accredited by EQUIS (EFMD) and AMBA (UK).

IIM Kozhikode also made its debut in the prestigious Financial Times Rankings (FT Rankings 2023) at 72nd position among the top-75 Open-enrolment executive program providers globally, catapulting the 27-years young IIM to an exclusive list of foremost providers of executive education in the world. IIM Kozhikode has successfully delivered close to 1300 MDP programmes for the past two decades, training more than 41000 participants from 150+ organisations - which also includes several Fortune 500 companies in the process.

#2 Atal Innovation
Rankings (ARIIA) 2021

#3 NIRF India Ranking
2023, Management

#3 India's Best B-School The Week
- Hansa Research Survey 2022



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