



भारतीय प्रबंध संस्थान कोषिकोड

Indian Institute of Management Kozhikode

Globalizing Indian Thought



Financial Market Regulations

August 31 – September 04, 2026



Programme Overview:

In the backdrop of considerable financial deepening, widening of asset menu choices and growing sophistication of financial markets with innovative products and practices, this programme focusses on financial market regulations to provide a broad familiarity with the rules and practices designed to ensure the stability, integrity, and fairness of financial markets, protecting investors and consumers, and promoting efficient operation. The programme covers most aspects of heterogeneous segments of the markets and entities that operate in the market including banking, securities, and insurance segments with discussions on instruments and practices. It covers the entire spectrum of regulatory architecture to deal with systemic risks

Programme/ Learning Objectives:

1. to familiarise with the broad structure of the Indian financial markets and their regulatory architecture;
2. to take a deeper dive on processes of primary and secondary equity markets including the role of exchanges
3. to understand how financial markets are used to raise infrastructure finance;
4. to understand systemic risks that can lead to financial crisis and the regulatory reforms undertaken to mitigate those risks, especially in banking sector;
5. to introduce market microstructure, trading systems & high-frequency algo trading;
6. to discuss forward-looking financial markets and regulatory reforms



Learning Outcomes:

The participants will be in a position to better understand the big picture of the role played by financial markets in an economy and the functioning of these markets and their regulation. They will be in a position to assimilate these learnings with broader public policies while focussing on basic technicalities of the market functioning. They can also use the occasion to develop networks within government, across layers of public administration, with academics and across stakeholders for continued peer-to-peer learning.

Programme themes and Coverage:

The programme content covers the broad overview of financial markets, their segments, the instruments, players and practices in these markets. It provides basic familiarity on how finance can be raised from these markets. The programme focusses on market regulations, while covering the economic and public policy rationale for these markets and the need to contain systemic risks (please see lecture plan).

Pedagogy:

The pedagogy predominantly lectures by faculty with domain expertise in the subject and some top-notch practitioners engaged with issues related to financial markets and their regulation. Pre-reads and follow-up reads, select podcasts/ videos and discussion of some case studies, discussions amongst participants for peer learning and a panel discussion on contemporary issue may be used. The plan of lectures in terms of hours/ speakers and topics may, however, undergo changes if needed due to exigencies beyond control.

Participants Profile:

- All India services (IAS, IPS, IFS) officers, organized Group 'A' Central Civil Services Officers & some other senior GoI officers, who may send their nominations through the Training Division of DoPT.
- Senior State Government officers in IAS ranks who may be engaged with Finance Departments and may be nominated through DoPT or by their respective State governments
- Mid-career professionals working in financial markets, banks, NBFCs, mutual funds, insurance firms, private equity firms, other institutional investors or corporate treasuries,
- CAs & CFAs,
- Researchers, reputed analysts, PhD students engaged with research on financial markets

Facilitator:



Prof. Aswin Alora

Assistant Professor, Finance,
Accounting and Control



Prof. Anirban Ghatak

Assistant Professor
Economics

PROGRAMME FEE

₹95000

Residential

₹85000

Non-Residential

*Discount will be available for
IIMK Alumni & Corporate
nominations (in group)*



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